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## **Search for dark matter in proton-proton collisions at 8 TeV with missing transverse momentum and vector boson tagged jets**

CMS Collaboration ; Canelli, F ; Chiochia, V ; Kilminster, B ; Robmann, P ; et al

**Abstract:** A search is presented for an excess of events with large missing transverse momentum in association with at least one highly energetic jet, in a data sample of proton-proton collisions at a centre-of-mass energy of 8 TeV. The data correspond to an integrated luminosity of  $19.7 \text{ fb}^{-1}$  collected by the CMS experiment at the LHC. The results are interpreted using a set of simplified models for the production of dark matter via a scalar, pseudoscalar, vector, or axial vector mediator. Additional sensitivity is achieved by tagging events consistent with the jets originating from a hadronically decaying vector boson. This search uses jet substructure techniques to identify hadronically decaying vector bosons in both Lorentz-boosted and resolved scenarios. This analysis yields improvements of 80% in terms of excluded signal cross sections with respect to the previous CMS analysis using the same data set. No significant excess with respect to the standard model expectation is observed and limits are placed on the parameter space of the simplified models. Mediator masses between 80 and 400 GeV in the scalar and pseudoscalar models, and up to 1.5 TeV in the vector and axial vector models, are excluded.

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# Search for dark matter in proton-proton collisions at 8 TeV with missing transverse momentum and vector boson tagged jets



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**ABSTRACT:** A search is presented for an excess of events with large missing transverse momentum in association with at least one highly energetic jet, in a data sample of proton-proton collisions at a centre-of-mass energy of 8 TeV. The data correspond to an integrated luminosity of  $19.7 \text{ fb}^{-1}$  collected by the CMS experiment at the LHC. The results are interpreted using a set of simplified models for the production of dark matter via a scalar, pseudoscalar, vector, or axial vector mediator. Additional sensitivity is achieved by tagging events consistent with the jets originating from a hadronically decaying vector boson. This search uses jet substructure techniques to identify hadronically decaying vector bosons in both Lorentz-boosted and resolved scenarios. This analysis yields improvements of 80% in terms of excluded signal cross sections with respect to the previous CMS analysis using the same data set. No significant excess with respect to the standard model expectation is observed and limits are placed on the parameter space of the simplified models. Mediator masses between 80 and 400 GeV in the scalar and pseudoscalar models, and up to 1.5 TeV in the vector and axial vector models, are excluded.

**KEYWORDS:** Dark matter, Hadron-Hadron scattering (experiments)

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**Contents**

<b>1</b>	<b>Introduction</b>	<b>1</b>
<b>2</b>	<b>The CMS detector and object reconstruction</b>	<b>2</b>
<b>3</b>	<b>Signal hypotheses</b>	<b>3</b>
<b>4</b>	<b>Event selection and categorization</b>	<b>6</b>
<b>5</b>	<b>Background estimation</b>	<b>8</b>
<b>6</b>	<b>Results</b>	<b>15</b>
<b>7</b>	<b>Summary</b>	<b>20</b>
<b>A</b>	<b>Supplementary material</b>	<b>24</b>
	<b>The CMS collaboration</b>	<b>35</b>

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**1 Introduction**

Several astrophysical observations, including those of the radial distribution of galactic rotational speeds [1–3] and the angular power spectrum of the cosmic microwave background [4, 5], suggest an abundance of a nonbaryonic form of matter in the universe. The existence of dark matter (DM) provides some of the most compelling evidence for physics beyond the standard model (SM) of particle physics [6, 7]. In many theories that extend the SM, production of DM particles is expected at the LHC. Monojet searches [8–14] provide sensitivity to a wide range of models for DM production at the LHC, while mono- $V$  (where  $V=W$  or  $Z$  boson) searches [15–18] target models for DM production associated with SM  $V$ -bosons. While the mono- $V$  searches target more specific models, they benefit from smaller SM backgrounds. The interpretation of results from these and other DM searches at the LHC has typically used effective field theories that assume heavy mediators and DM production via contact interactions [19–21]. The results of this analysis are interpreted in the context of a spin-0 or spin-1 mediator decaying to a pair of DM particles, using a set of simplified DM models [22–25] that span a broad range of mediator and DM particle masses, for a specific benchmark point in the model parameter space. In the limit of large mediator masses, these simplified models are well reproduced by the EFT approach. The models provide a simplified description of DM production that is applicable across the full kinematic region accessible at the LHC. Furthermore, within the framework of these models, a straightforward comparison can be made of the limits obtained by LHC experiments with those of direct detection (DD) experiments.

This paper presents a search for new phenomena leading to an excess of events with least one energetic jet and an imbalance in transverse momentum in proton-proton collisions at a centre-of-mass energy of 8 TeV. The data, corresponding to an integrated luminosity of  $19.7\text{ fb}^{-1}$ , were collected using the CMS detector at the CERN LHC. This was the first CMS search to target the hadronic decay modes of the V-bosons in the mono-V channels. The mono-V search uses techniques designed to exploit information available in the jet’s substructure when the V-boson is highly Lorentz-boosted. Additionally, the search uses a multivariate V-tagging technique to identify the individual jets from moderately boosted V-bosons.

The events are categorized according to the most likely origin of the jets in the event. The signal extraction is performed by considering the missing transverse momentum distribution in each event category, and using multiple data control regions to constrain the dominant backgrounds. These updates to the previous CMS monojet analysis [9] yield improvements of roughly 80% in terms of cross section exclusion limits, using the same data set.

This paper is structured as follows: section 2 provides a description of the CMS detector and object reconstruction; section 3 outlines the DM models explored as signal hypotheses; section 4 provides a description of the event selection and categorization used in the search; section 5 describes the modelling of backgrounds used in the signal extraction; section 6 presents the results and interpretations in the context of simplified models for DM production.

## 2 The CMS detector and object reconstruction

The CMS detector, described in ref. [26], is a multi-purpose apparatus designed to study high-transverse momentum ( $p_T$ ) products of energetic proton-proton and heavy-ion collisions. A superconducting solenoid surrounds its central region, providing a magnetic field of 3.8 T parallel to the beam direction. Charged-particle trajectories are measured by the silicon pixel and strip trackers, which cover a pseudorapidity ( $\eta$ ) region of  $|\eta| < 2.5$ . A lead tungstate crystal electromagnetic calorimeter (ECAL) and a brass and scintillator hadron calorimeter (HCAL), surround the tracking volume and cover  $|\eta| < 3$ . The steel and quartz-fiber Cherenkov forward calorimeter extends the coverage to  $|\eta| < 5$ . The CMS muon system consists of gas-ionization detectors embedded in the steel flux-return yoke outside the solenoid, covering  $|\eta| < 2.4$ . The first level of the CMS trigger system, composed of specialized hardware processors, is designed to select the most interesting events in less than  $4\text{ }\mu\text{s}$ , using information from the calorimeters and the muon detectors. The high-level trigger processor farm is used to reduce the recorded event rate to a few hundred events per second.

The particle-flow (PF) algorithm reconstructs and identifies each individual particle with an optimized combination of information from the various elements of the CMS detector [27, 28]. Jets are reconstructed by the clustering of PF objects using both the anti- $k_T$  algorithm [29] with 0.5 as the distance parameter (AK5), and the Cambridge-Aachen algorithm [30] with 0.8 as the distance parameter (CA8). The jets used in this analysis are

required to pass standard CMS identification criteria [31]. The jet momenta are corrected for contamination from additional interactions in the same bunch crossing (pileup) on the basis of the observed event energy density [32]. Further corrections are then applied to calibrate the absolute scale of the jet energy [31].

The missing transverse momentum vector  $\vec{p}_T^{\text{miss}}$  is defined as the negative vector sum of the  $p_T$  of all final state particles that are reconstructed using the PF algorithm [33]. The magnitude of  $\vec{p}_T^{\text{miss}}$  is referred to as  $E_T^{\text{miss}}$ . Events with a large misreconstructed  $E_T^{\text{miss}}$  are removed by applying quality filters on the tracker, ECAL, HCAL, and muon detector data.

### 3 Signal hypotheses

The signal hypotheses in this search are a set of simplified models for DM production [22–24]. These models assume the existence of an additional particle, a fermionic DM candidate, and an additional interaction that mediates the production of DM. In particular, it is assumed that this additional interaction is mediated by a generic spin-0 or spin-1 particle. The interactions are characterized by four Lagrangians, written for a Dirac-fermion DM particle  $\chi$  with mass  $m_{\text{DM}}$ , and a vector ( $Z'$ ), axial vector ( $A$ ), scalar ( $S$ ), or pseudoscalar ( $P$ ) mediator with mass  $m_{\text{MED}}$  as,

$$\mathcal{L}_{\text{vector}} \supset \frac{1}{2} m_{\text{MED}}^2 Z'_\mu Z'^\mu - g_{\text{DM}} Z'_\mu \bar{\chi} \gamma^\mu \chi - g_{\text{SM}} \sum_q Z'_\mu \bar{q} \gamma^\mu q - m_{\text{DM}} \bar{\chi} \chi, \quad (3.1)$$

$$\mathcal{L}_{\text{axial vector}} \supset \frac{1}{2} m_{\text{MED}}^2 A_\mu A^\mu - g_{\text{DM}} A_\mu \bar{\chi} \gamma^\mu \gamma^5 \chi - g_{\text{SM}} \sum_q A_\mu \bar{q} \gamma^\mu \gamma^5 q - m_{\text{DM}} \bar{\chi} \chi, \quad (3.2)$$

$$\mathcal{L}_{\text{scalar}} \supset -\frac{1}{2} m_{\text{MED}}^2 S^2 - g_{\text{DM}} S \bar{\chi} \chi - g_q \sum_{q=b,t} \frac{m_q}{v} S \bar{q} q - m_{\text{DM}} \bar{\chi} \chi, \quad (3.3)$$

$$\mathcal{L}_{\text{pseudoscalar}} \supset -\frac{1}{2} m_{\text{MED}}^2 P^2 - i g_{\text{DM}} P \bar{\chi} \gamma^5 \chi - i g_q \sum_{q=b,t} \frac{m_q}{v} P \bar{q} \gamma^5 q - m_{\text{DM}} \bar{\chi} \chi, \quad (3.4)$$

where  $v = 246$  GeV is the SM Higgs potential vacuum expectation value [34]. For the vector and axial vector mediators, the terms  $g_{\text{DM}}$  and  $g_{\text{SM}}$  denote the couplings of the mediator to the DM particle and to SM particles, respectively. In all models considered, these couplings are assumed to be unity ( $g_{\text{SM}} = g_{\text{DM}} = 1$ ). For the vector and axial vector mediators, this implies that the coupling is universal between the mediator and quarks of all flavours. For the scalar and pseudoscalar models,  $g_q = 1$  is assumed for all quark flavours, which implies a SM Higgs-like coupling of the mediator to the SM fermions. The split in terms of axial vector and vector mediators in the Lagrangian parallels the existing separation in DD experiments, into spin-dependent (SD) and spin-independent (SI) interactions; SI can refer to either vector or scalar mediated interactions while SD interactions refer to axial vector mediated processes. Pseudoscalar DM-nucleon interaction cross sections are suppressed at non-relativistic DM velocities, leading to a limited sensitivity for DD experiments to this type of interaction [35, 36].

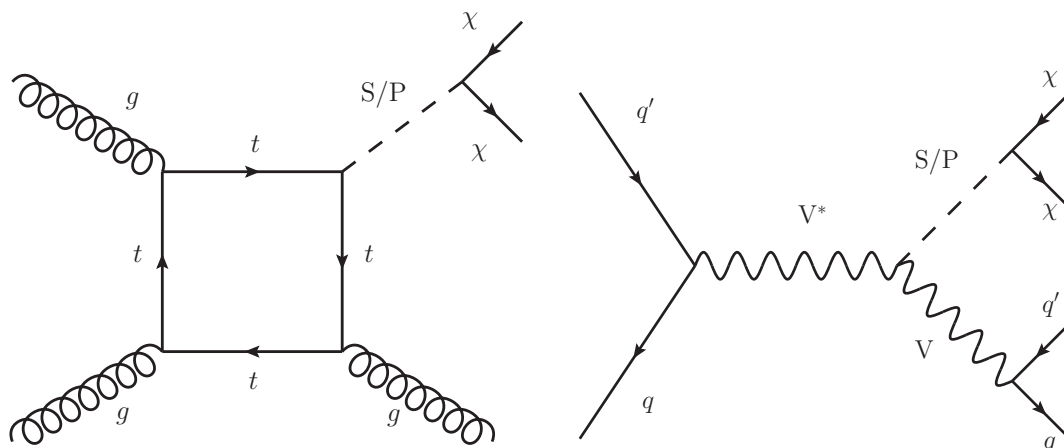
For spin-1 signatures, the DM production process is analogous to Z boson production via quark scattering, as shown in figure 2. The mono-V and monojet signatures follow from

initial-state radiation (ISR) of a V-boson and quark or gluon, respectively. Constraints on these models for spin-1 mediators can be imposed, based on the results of searches for visible decays of the mediator [37–39], including dijet resonance searches [40]. Typically, dijet resonance searches are interpreted assuming mediator widths that are much smaller than the mediator mass [40–42], while for the coupling parameter values used in this paper, the width of the spin-1 mediator is roughly 40–50% of its mass.

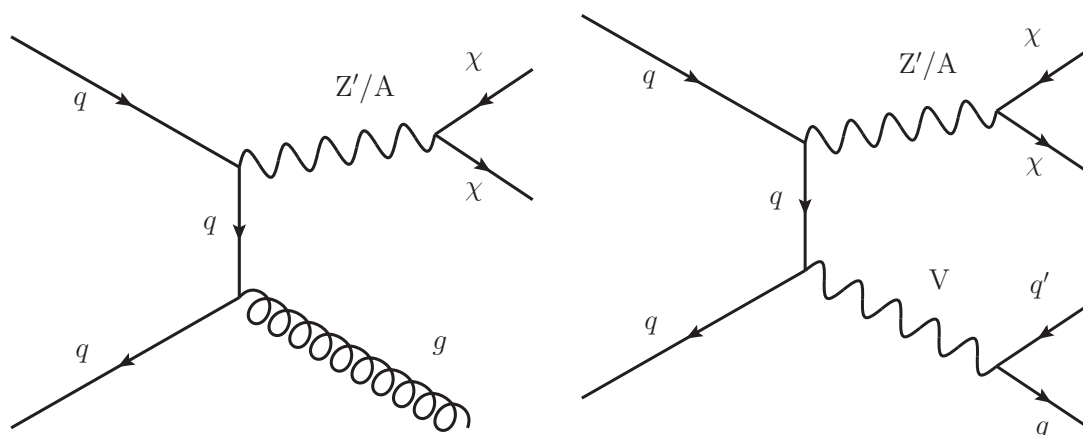
The scalar and pseudoscalar models can be extended by allowing the scalar and pseudoscalar interactions to undergo electroweak symmetry breaking in an analogous way to the Higgs mechanism [43–49]. In such spin-0 models, the coupling of the mediator to SM quarks can be mass-dependent as parameterized in eqs. (3.3) and (3.4). In these models, the production of DM at hadron colliders occurs predominantly through gluon-fusion via a top quark loop as shown in figure 1 (left). When couplings of the mediator to vector bosons are present, mono-V signatures are produced through a radiative process, as indicated in figure 1 (right). The scenario in which couplings between the mediator and vector bosons are not considered, is denoted herein as *fermionic*. For fermionic models, the mediator width is calculated assuming that it couples only to quarks and DM particles. This is referred to as the minimal width constraint. For the case in which couplings between the mediator and V-bosons are allowed, the width is modified to account for the additional contributions that arise [34].

To model the contributions expected from these signals, simulated events are generated, at leading order (LO) precision, using MCFM 6.8 [50] for the monojet signature and JHUGEN 5.2.5 [51] for the mono-V signature. Large modifications to high- $p_T$  production of a spin-0 mediator, produced via gluon-fusion in association with jets, are expected when the actual mass of the top quark is used, rather than assuming it to be infinite [52, 53]. This effect is taken into account in the generation of the scalar and pseudoscalar signals and in the calculation of their cross sections. The NNPDF3.0 set of parton distribution functions (PDF) is used to specify the inputs in the signal generation [54]. The generated events are interfaced with PYTHIA 6.4.26 [55] for parton showering and hadronization with the underlying event tune Z2\* [56]. For the monojet signal, the generation is performed using the mediator mass for the renormalization and factorization scales. The mediator mass is also used for the scale in the parton showering (PS).

Higher-order QCD and electroweak effects are not considered in the generation of the monojet signal. Alternative signal samples for the spin-1 mediators, generated with POWHEG 2.0 [57–61] at next-to-leading order (NLO) precision, followed by PYTHIA 8.212 [62] with the underlying event tune CUETP8M1 [63] for the description of fragmentation and hadronization, have been considered. The mediator  $p_T$  is used, instead of the mediator mass, as the choice for the renormalization, factorization, and PS scales. Using the alternative samples results in a reduction in the expected signal yield of up to 80% for the spin-1 mediators with  $m_{\text{MED}} > 400$  GeV. Signal samples for the spin-0 mediators were also generated with POWHEG (at LO precision) with the same scale choices as used for spin-1 samples. Using these samples results in a reduced signal yield in the relevant kinematic region, by up to 30% when  $m_{\text{MED}} < 400$  GeV. The reduction in signal yields predicted by the alternative samples translates to a reduction of the exclusion in the mediator mass



**Figure 1.** Diagrams for production of DM via a scalar (S) or pseudoscalar (P) mediator in the cases providing monojet (left) and mono-V (right) signatures.



**Figure 2.** Diagrams for production of DM via a vector ( $Z'$ ) or axial vector (A) mediator providing monojet (left) and mono-V (right) signatures.

by approximately 200 and 20 GeV for small  $m_{\text{DM}}$  values, for the spin-1 and spin-0 mediators, respectively. Higher-order electroweak effects are expected to reduce the yield of the mono-V signal, for spin-0 mediators, by up to 15% at large mediator  $p_T$  [64], while NLO QCD corrections are expected to increase the yield by roughly 25% [65].

To compute the SM background expectation, simulated samples are produced at LO for the  $Z + \text{jets}$ ,  $W + \text{jets}$ ,  $t\bar{t}$ , and QCD multijet processes using MADGRAPH 5.1.3 [66] interfaced with PYTHIA 6.4.26 for hadronization and fragmentation, where jets from the matrix element calculations are matched to the parton shower, following the MLM matching prescription [67]. Additionally, a single top quark background sample is produced at NLO with POWHEG 1.0, and a set of diboson and  $\gamma + \text{jets}$  samples are produced at LO with PYTHIA 6.4.26. All of the simulated background samples are generated using the CT10 PDF set [68]. The underlying event description is provided by the Z2\* tune in the signal and background simulation.



The generated signal and background events are interfaced with GEANT4 [69] to simulate the CMS detector response. The simulated samples are then corrected to account for the distribution of pileup interactions observed in the 8 TeV data set. All signal and background samples are additionally corrected to account for the observed mismodelling of hadronic recoil in simulation, following the procedure described in ref. [33].

## 4 Event selection and categorization

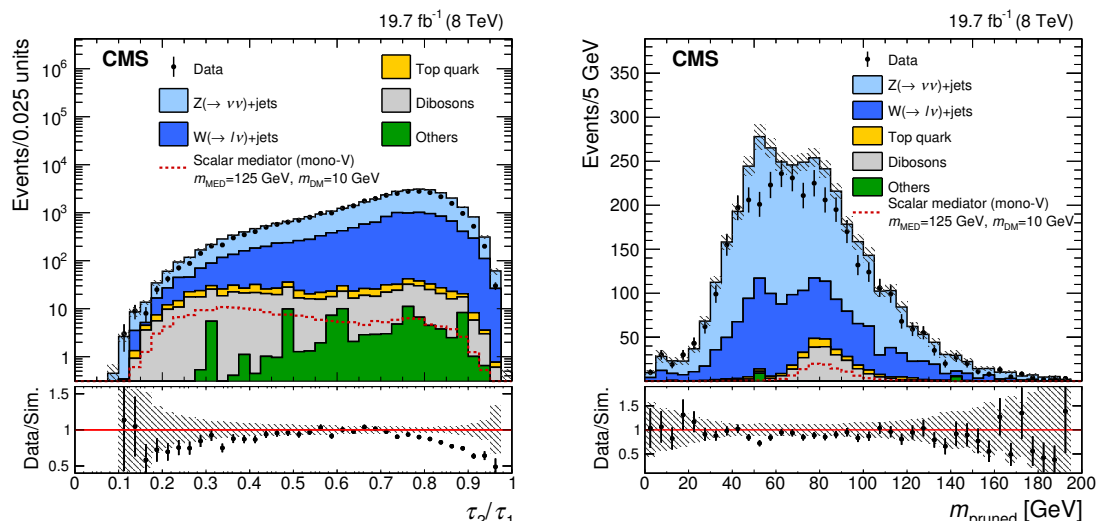
Candidate signal events are selected by requiring large values of  $E_T^{\text{miss}}$  and one or more high- $p_T$  jets. The data used for this analysis are collected using two  $E_T^{\text{miss}}$  triggers. The first requires  $E_T^{\text{miss}} > 120$  GeV, where the  $E_T^{\text{miss}}$  is calculated using a PF reconstruction algorithm that only uses information from the calorimeters, while the second requires  $E_T^{\text{miss}} > 95$  GeV or  $E_T^{\text{miss}} > 105$  GeV, depending on the data taking period, together with at least one jet with  $p_T > 80$  GeV and  $|\eta| < 2.6$ .

Selected events are required to have  $E_T^{\text{miss}} > 200$  GeV to ensure a trigger efficiency greater than 99% for all events used in the analysis. The azimuthal angle  $\phi$  between the  $\vec{p}_T^{\text{miss}}$  and the highest- $p_T$  (leading) jet,  $|\Delta\phi(\vec{p}_T^{\text{miss}}, \text{j})|$ , is required to be larger than 2 radians to reduce the contribution from QCD multijet events. Events are vetoed if they contain at least one well-identified electron, photon, or muon with  $p_T > 10$  GeV, or a  $\tau$  lepton with  $p_T > 15$  GeV [70–73]. The electron,  $\tau$  lepton, and photon vetoes require that the identified object be isolated, by using standard PF isolation algorithms [74].

Selected events are classified according to the topology of the jets to distinguish between ISR of a quark or gluon, and hadronic V-boson decays, which can be either highly Lorentz-boosted or resolved into two jets. This approach results in three independent classes of events that are referred to as the monojet, V-boosted, and V-resolved categories. The V-boosted and V-resolved categories are collectively referred to as the V-tagged categories.

If the V-boson decays hadronically and has sufficiently large  $p_T$ , both of its hadronic decay products are captured as a single reconstructed “fat” jet. Events in this V-boosted category are required to have a reconstructed CA8 jet with  $p_T > 200$  GeV and  $E_T^{\text{miss}} > 250$  GeV. Additional selection criteria are applied to improve the vector boson jet purity by cutting on the “N-subjettiness” ratio  $\tau_2/\tau_1$  as defined in refs. [75, 76], which identifies jets with a two-subjet topology, and on the pruned jet mass ( $m_{\text{pruned}}$ ) [77]. The  $\tau_2/\tau_1$  ratio is required to be smaller than 0.5 and  $m_{\text{pruned}}$  is required to be in the range 60–110 GeV. Events which contain additional AK5 jets close to the CA8 jet, but no closer than  $\Delta R = \sqrt{(\delta\eta)^2 + (\delta\phi)^2} = 0.5$ , are selected to include the frequent cases in which ISR yields additional jets. If exactly one AK5 jet with  $p_T > 30$  GeV and  $|\eta| < 2.5$  is reconstructed with  $\Delta R > 0.5$  relative to the CA8 jet, and the azimuthal angle between it and the CA8 jet is smaller than 2 radians, the event is selected. Events with more than one AK5 jet with  $p_T > 30$  GeV and  $|\eta| < 2.5$ , reconstructed at  $\Delta R > 0.5$  relative to the CA8 jet, are rejected. Figure 3 shows the distributions in  $\tau_2/\tau_1$  and  $m_{\text{pruned}}$  before the application of the jet mass selection, in simulation and data, for the V-boosted category. A discrepancy is present in the simulation relative to the data. This discrepancy has been



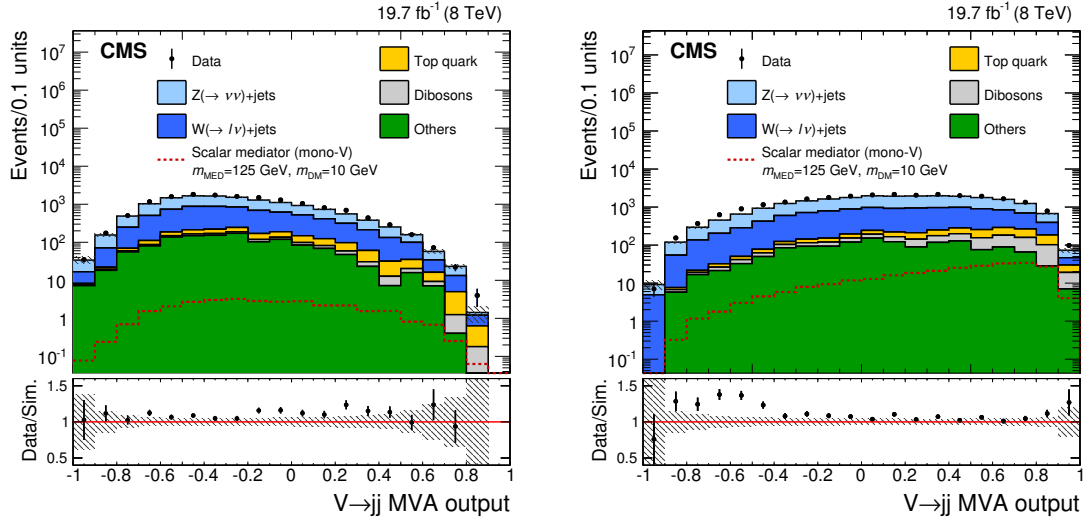


**Figure 3.** Left: the distribution of  $\tau_2/\tau_1$  in highly Lorentz-boosted events, before the jet mass selection. Right: the distribution of  $m_{\text{pruned}}$  for the CA8 jets, before applying the jet mass selection but after the requirement of  $\tau_2/\tau_1 < 0.5$  has been applied. The discrepancy between data and simulation is within systematic uncertainties (not shown). The dashed red line shows the expected distribution for scalar-mediated DM production with  $m_{\text{MED}} = 125$  GeV and  $m_{\text{DM}} = 10$  GeV. The shaded bands indicate the statistical uncertainty from the limited number of simulated events.

studied and found to fall within the variations observed when using different parton shower models and detector descriptions in the simulation [78]. The disagreement is within the systematic uncertainties of the selection efficiency that are included in this analysis.

In cases where the V-boson has insufficient boost for its hadronic decay to be fully contained in a single reconstructed CA8 jet, a selection that targets V-boson decays into a pair of AK5 jets is applied to recover events failing the V-boosted selection. This selection requires that each jet has  $p_T > 30$  GeV and  $|\eta| < 2.5$ , and that the dijet system has a mass in the range 60–110 GeV, consistent with originating from a W or Z boson. To reduce the combinatorial background in this V-resolved category, a multivariate (MVA) selection criterion is applied. The inputs to the MVA are the jet pull angle [79], the mass drop variable [80], and a likelihood-based discriminator that distinguishes quark-originated from gluon-originated jets [81]. In events where multiple dijet pairs are found, the pair with the highest MVA output value is taken as the candidate. The distributions of the MVA output for SM backgrounds and for a scalar mediator produced in association with a V-boson are shown in figure 4. The disagreement observed between the data and simulation is included as a systematic uncertainty in the efficiency of the V-resolved category selection for the top quark and diboson backgrounds. Events are included in the V-resolved category if they have an MVA output greater than 0.6. This selection is optimal for mono-V signals with a spin-0 mediator with  $m_{\text{MED}} < 300$  GeV [81].

To reduce contamination from top quark backgrounds, events are rejected if they contain a jet that is identified as a b jet, defined using the combined secondary vertex tagger operating at a medium efficiency working point [82]. Finally, the events are required to have  $E_T^{\text{miss}} > 250$  GeV.



**Figure 4.** The MVA output distributions for V-tagged events in simulation and data after signal selection for  $p_T < 160$  GeV (left) and  $p_T > 160$  GeV (right). Above a  $p_T$  of about 160 GeV, the jets from the V-boson decay begin to overlap. The dashed red line shows the expected distribution for scalar-mediated DM production with  $m_{\text{MED}} = 125$  GeV and  $m_{\text{DM}} = 10$  GeV. The shaded bands indicate the statistical uncertainty arising from the limited number of simulated events.

Events that do not qualify for either of the two V-tagged categories are required to have one or two high- $p_T$  jets that are consistent with originating from a single quark or gluon. This final category is referred to as the monojet category. For the monojet category, events are required to have  $E_T^{\text{miss}} > 200$  GeV and contain at least one AK5 jet within  $|\eta| < 2$  with  $p_T > 150$  GeV. Events containing a second AK5 jet with  $p_T > 30$  GeV and  $|\eta| < 2.5$  are selected, providing the azimuthal angle between the leading jet with  $|\eta| < 2$  and this second AK5 jet is less than 2 radians. This selection recovers the frequent cases where ISR yields two jets in the monojet signal. Events with three or more AK5 jets with  $p_T > 30$  GeV and  $|\eta| < 2.5$  are rejected. Table 1 gives a summary of the event selection in the three categories. The priority for event selection is that events are first selected in the V-boosted category, followed by the V-resolved category, and finally in the monojet category. Events which pass a given selection are not included in any subsequent category.

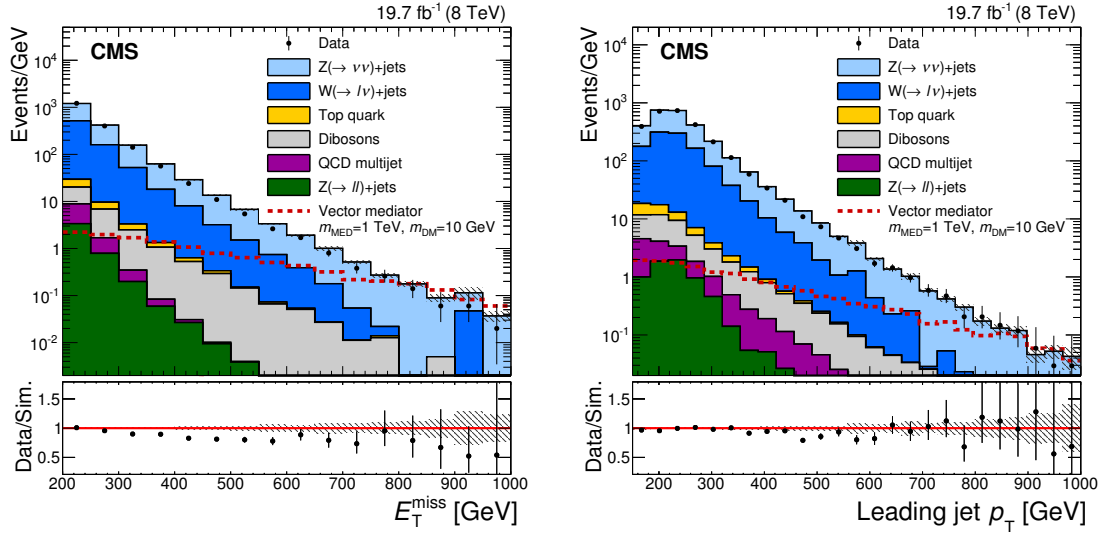
Figure 5 shows the  $E_T^{\text{miss}}$  and leading jet  $p_T$  distributions in data and simulation after selection for the three event classes combined. The backgrounds are normalized to the integrated luminosity of the data samples, and the expected distribution for vector mediated DM production assuming  $m_{\text{DM}} = 10$  GeV and  $m_{\text{MED}} = 1$  TeV is overlaid. The discrepancy between the data and simulation is a result of both detector resolution and an imperfect theoretical description of the kinematics of the V + jets processes. Both effects are corrected using control samples in data, as described in the following section.

## 5 Background estimation

The presence of DM production would be observable as an excess of events above SM backgrounds at high  $E_T^{\text{miss}}$ . The sensitivity obtained by considering the shape of the  $E_T^{\text{miss}}$

	V-boosted	V-resolved	Monojet
$p_T^j$	$>200$ GeV	$>30$ GeV	$>200$ GeV
$ \eta ^j$	$<2.5$	$<2$	$<2$
$E_T^{\text{miss}}$	$>250$ GeV	$>250$ GeV	$>200$ GeV
$\tau_2/\tau_1$	$<0.5$	—	—
V $\rightarrow$ jj MVA output	—	$>0.6$	—
$m_{\text{pruned}}$	60–110 GeV	—	—
$m_{\text{jj}}$	—	60–110 GeV	—
$ \Delta\phi(\vec{p}_T^{\text{miss}}, \vec{j}) $	$>2$ rad	—	$>2$ rad
$N_j$	$=1$	—	$=1$

**Table 1.** Event selections for the V-boosted, V-resolved, and monojet categories. The requirements on  $p_T^j$  and  $|\eta|^j$  refer to the highest  $p_T$  CA8 or AK5 jet in the V-boosted or monojet categories, and to both leading AK5 jets in the V-resolved category. The requirement on the number of jets ( $N_j$ ) is applied in the V-boosted and monojet categories. An additional jet is allowed only if it falls within  $|\Delta\phi| < 2$  radians of the leading AK5 or CA8 jet for the monojet or V-boosted category. The additional AK5 jets in the V-boosted category must be further than  $\Delta R > 0.5$  for the event to fail this criteria.



**Figure 5.** Distributions in  $E_T^{\text{miss}}$  (left) and leading jet  $p_T$  (right) in simulated events and data, resulting from the combined signal selections for the three event categories. The dashed red line shows the expected distribution, assuming vector mediated DM production with  $m_{\text{MED}} = 1$  TeV and  $m_{\text{DM}} = 10$  GeV. The shaded bands indicate the statistical uncertainty from the limited number of simulated events.

spectrum in these events is significantly better than that achieved in the simple counting analysis described in the previous CMS paper [9]. Additional improvement is achieved by using control regions in data to reduce the uncertainties in the predictions of the SM backgrounds. These regions are statistically independent from the signal region and de-

signed such that the expected contribution from a potential signal is negligible. A binned likelihood fit is performed in the ranges 250–1000 GeV and 200–1000 GeV for the two V-tagged and monojet categories, respectively. The binning is chosen to ensure that each corresponding bin of a set of control regions is populated. The width of the highest  $E_T^{\text{miss}}$  bin is chosen to provide ease of comparison with the previous CMS search [9].

The background contributions from  $Z(\nu\nu) + \text{jets}$  and  $W(\ell\nu) + \text{jets}$  are determined using data from dimuon and photon, and single muon control regions, respectively. The events in the control regions are divided into the three categories, using the selection criteria described in section 4, but replacing the lepton and photon vetoes with a requirement of the presence of one of the following: a pair of oppositely charged muons consistent with a Z boson decay, a high  $p_T$  photon, or a single muon consistent with a leptonic W boson decay. This yields a total of nine control regions; three for each event category. In the control regions, the transverse momentum of the dimuon pair, the single muon, or the photon is removed and the  $E_T^{\text{miss}}$  is recalculated. This quantity is referred to as pseudo- $E_T^{\text{miss}}$  and it is this variable to which the  $E_T^{\text{miss}}$  selection of the corresponding signal region applies. The distribution of pseudo- $E_T^{\text{miss}}$  in the control regions is used to estimate the distribution of  $E_T^{\text{miss}}$  expected from the  $Z(\nu\nu) + \text{jets}$  and  $W(\ell\nu) + \text{jets}$  backgrounds in the signal region.

The dimuon control region is defined using the signal region selection criteria without the muon veto. Exactly two isolated muons with opposite charge,  $p_T^{\mu_1}, p_T^{\mu_2} > 20, 10$  GeV and an invariant mass in the range 60–120 GeV are required. As the decay branching fraction of  $\mathcal{B}(Z \rightarrow \mu^+\mu^-)$  is approximately six times smaller than that to neutrinos, the resulting statistical uncertainty in the  $Z(\nu\nu) + \text{jets}$  background becomes a dominant systematic uncertainty at large values of  $E_T^{\text{miss}}$ . A complementary approach is to use events in data that have a high- $p_T$  photon recoiling against jets to further constrain the  $Z(\nu\nu) + \text{jets}$  [83]. This is advantageous since the production cross section of  $\gamma + \text{jets}$  is roughly a factor of three times that of the  $Z(\nu\nu) + \text{jets}$ , yielding thereby a smaller statistical uncertainty in the predicted background. However, the theoretical uncertainties associated with the translation of the kinematics in  $\gamma + \text{jets}$  events to that of  $Z(\nu\nu) + \text{jets}$  events are significant. A combination of both photon and dimuon control regions is used to maximally constrain the  $Z(\nu\nu) + \text{jets}$  background.

The photon control region consists of events that are selected by a trigger requiring an isolated photon with  $p_T > 150$  GeV [70]. The selected events are required to have at least one photon with  $p_T > 170$  GeV and  $|\eta| < 2.5$ , identified using a medium efficiency selection criterion [70]. Photons in the ECAL transition region,  $1.44 < |\eta| < 1.56$  are excluded. All other kinematic selections are the same as those used for the signal region. The purity of the selection has been measured and is used to estimate the contributions from other backgrounds in the photon control region [70].

To estimate the  $W(\ell\nu) + \text{jets}$  background, a single muon control region is defined by selecting events with exactly one muon with  $p_T > 20$  GeV. Additionally, the transverse mass, calculated as  $m_T = \sqrt{2E_T^{\text{miss}}p_T^\mu(1 - \cos\phi)}$ , where  $\phi$  is the azimuthal angle between  $\vec{p}_T^{\text{miss}}$  and the direction of the muon momentum, is required to be in the range 50–100 GeV.

The  $E_T^{\text{miss}}$  spectra of the V + jets backgrounds are determined through the use of a binned likelihood fit to the data in all the bins of the three control regions. The expected

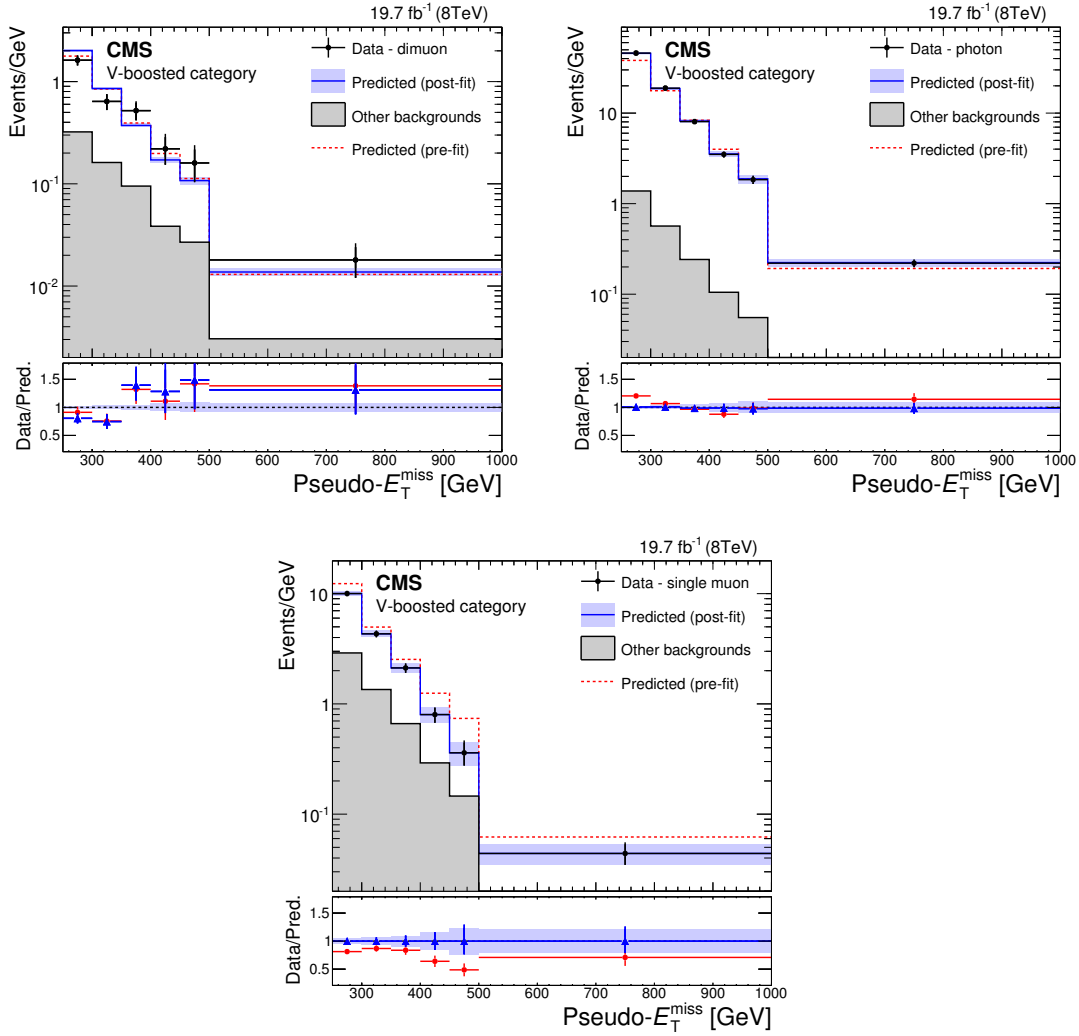
number of events  $N_i$  in a given bin  $i$  of pseudo- $E_T^{\text{miss}}$  is defined as  $N_i^{Z\mu\mu} = \mu_i^{Z \rightarrow \nu\nu} / R_i^Z$  and  $N_i^\gamma = \mu_i^{Z \rightarrow \nu\nu} / R_i^\gamma$  for the dimuon and photon control regions, and  $N_i^W = \mu_i^{W \rightarrow \ell\nu} / R_i^W$  for the single muon control region. The  $\mu_i^{Z \rightarrow \nu\nu}$  and  $\mu_i^{W \rightarrow \ell\nu}$  terms are free parameters of the likelihood representing the yields of  $Z(\nu\nu) + \text{jets}$  and  $W(\ell\nu) + \text{jets}$  in each bin of the signal regions. The additional terms  $R_i^W$ ,  $R_i^Z$ , and  $R_i^\gamma$  denote factors that account for the extrapolation of specific backgrounds from the signal region to control regions. The likelihood function for a particular event category is given by

$$\begin{aligned} \mathcal{L}(\mu^{Z \rightarrow \nu\nu}, \mu^{W \rightarrow \ell\nu}, \alpha, \beta) = & \prod_i \text{Poisson} \left( d_i^\gamma \left[ B_i^\gamma(\alpha) + \frac{\mu_i^{Z \rightarrow \nu\nu}}{R_i^\gamma(\beta)} \right] \right) \\ & \times \prod_i \text{Poisson} \left( d_i^Z \left[ B_i^Z(\alpha) + \frac{\mu_i^{Z \rightarrow \nu\nu}}{R_i^Z(\beta)} \right] \right) \\ & \times \prod_i \text{Poisson} \left( d_i^W \left[ B_i^W(\alpha) + \frac{\mu_i^{W \rightarrow \ell\nu}}{R_i^W(\beta)} \right] \right), \end{aligned} \quad (5.1)$$

where  $d_i^\gamma$ ,  $d_i^Z$ , and  $d_i^W$  are the observed number of events in each bin,  $i$ , of the photon, dimuon, and single muon control regions and  $\text{Poisson}(x|y) = y^x e^{-y} / x!$ . The terms  $\alpha, \beta$  denote constrained nuisance parameters, which model systematic uncertainties in the translation from the pseudo- $E_T^{\text{miss}}$  distributions in the control regions of a particular event category to the  $E_T^{\text{miss}}$  distribution in the corresponding signal region. The expected contributions from other background processes in the photon, dimuon and single muon control regions are denoted  $B_i^\gamma$ ,  $B_i^Z$ , and  $B_i^W$  in eq. (5.1), respectively.

The factors  $R_i^Z$  account for the ratio of  $\mathcal{B}(Z \rightarrow \nu\nu) / \mathcal{B}(Z \rightarrow \mu^+\mu^-)$  and the muon efficiency times acceptance in the dimuon control region, while  $R_i^\gamma$  account for the ratio of differential cross sections between the  $Z + \text{jets}$  and  $\gamma + \text{jets}$  processes and the efficiency times acceptance of the photon selection for the  $\gamma + \text{jets}$  control region. The differential cross sections of photon and  $Z$  production are corrected using NLO k-factors obtained from a comparison of their  $p_T$  distributions in events generated with MADGRAPH5\_aMC@NLO 2.2.2 [66], to the distributions produced at LO. These k-factors are propagated to the factors  $R_i^\gamma$  to account for NLO QCD effects.

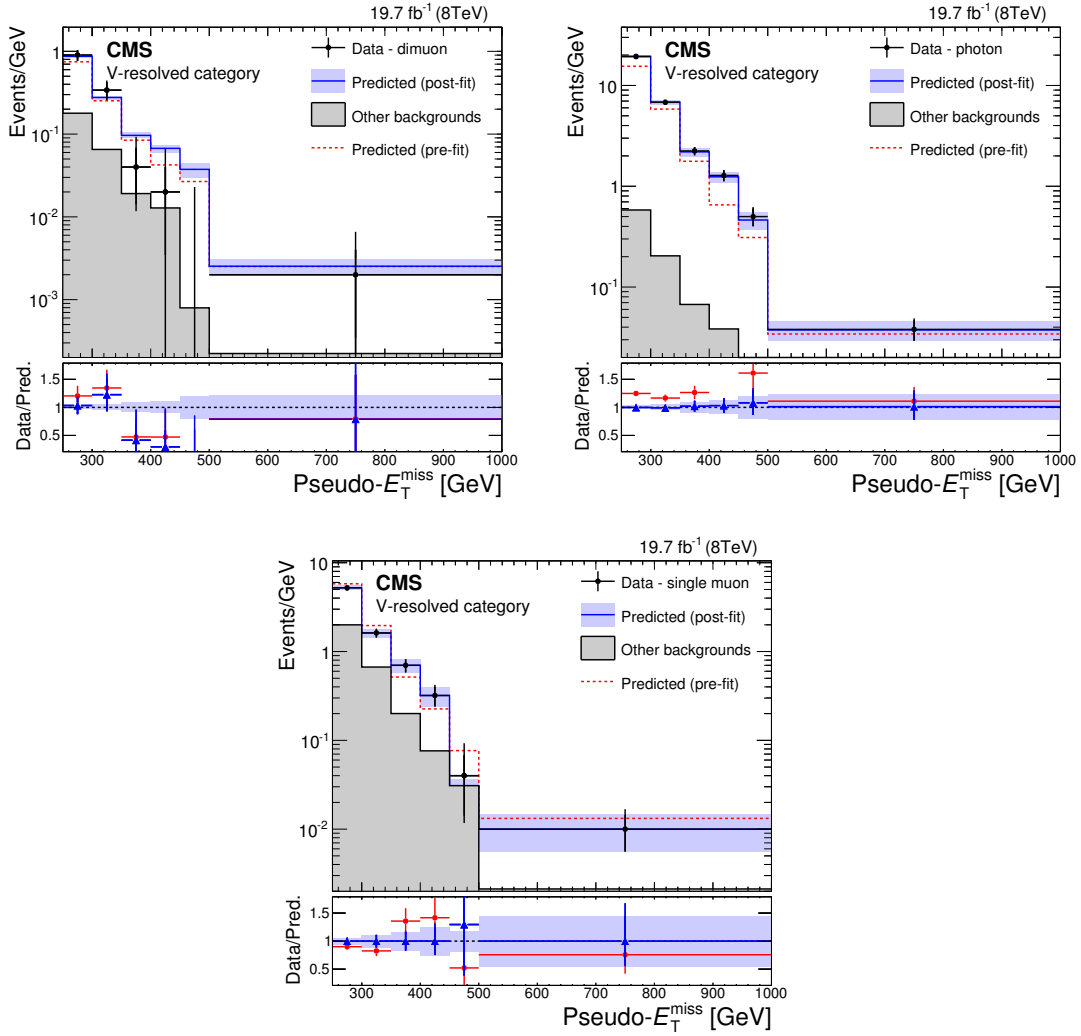
Systematic uncertainties are modelled as constrained nuisance parameters that allow variation of the factors  $R_i^\gamma$ ,  $R_i^Z$  and  $R_i^W$  in the fit. These include theoretical uncertainties in the photon to  $Z$  differential cross section ratio from renormalization and factorization scale uncertainties, which amount to 8% each across the relevant boson  $p_T$  range. These uncertainties are conservative in that they are estimated by taking the maximum difference in the ratio derived from varying each scale by a factor of two, independently for the two processes, thereby ignoring any cancellation of the scale uncertainties. Electroweak corrections are not accounted for in the simulation. Additional k-factors are applied as a function of the boson ( $Z$  or  $\gamma$ )  $p_T$ , to account for higher order electroweak effects, which are around 15% for a boson  $p_T$  around 1 TeV [84]. The full correction is taken as an uncertainty in the ratio. A conservative choice is made in assuming this uncertainty to be uncorrelated across bins of  $E_T^{\text{miss}}$ . The uncertainties in the muon selection efficiency, photon selection efficiency, and photon purity are included and fully correlated across the control regions for



**Figure 6.** Predicted and observed  $\text{pseudo-}E_T^{\text{miss}}$  distributions in the dimuon (top-left), photon (top-right), and single muon (bottom) control regions, before and after performing the simultaneous likelihood fit to the data in the control regions, for the V-boosted category. The predictions for the distributions before fitting to the control region data (pre-fit), and after (post-fit) are shown as the dashed red and solid blue lines, respectively. The red circles in the lower panels show the ratio of the observed data to the pre-fit predictions, while the blue triangles show the ratio to the post-fit predictions. The horizontal bars on the data points indicate the width of the bin that is centred at that point. The filled bands around the post-fit prediction indicate the combined statistical and systematic uncertainties from the fit.

the three event categories. The results of the fit to the data in the control regions for the V-boosted, V-resolved, and monojet categories are shown in figures 6, 7, and 8, respectively.

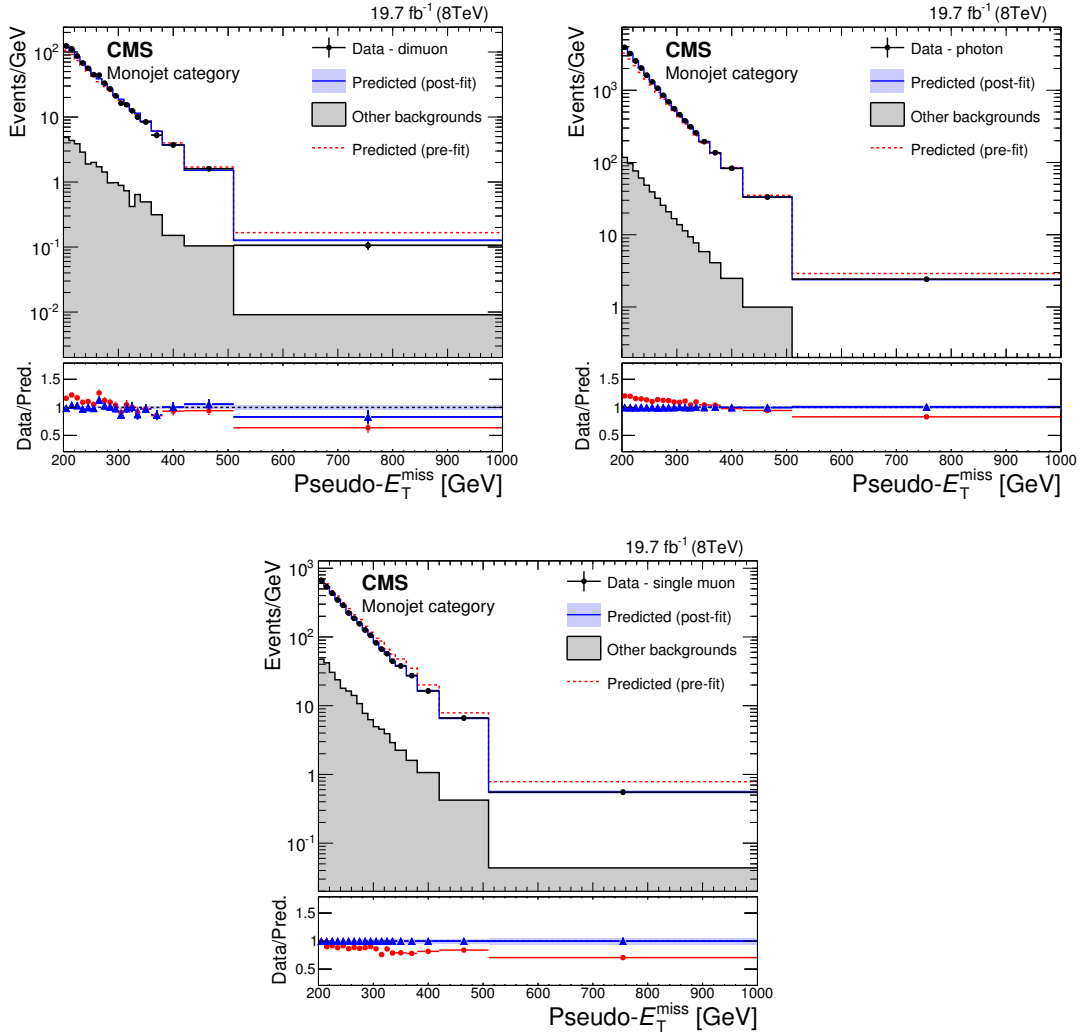
The remaining backgrounds are expected to be much smaller than those from  $V + \text{jets}$  and are estimated directly from simulation. Shape and normalization systematic uncertainties from the hadronic recoil corrections applied to these backgrounds are included and account for uncertainties in the jet energy scale and resolution. Systematic uncertainties re-



**Figure 7.** Predicted and observed  $\text{pseudo-}E_T^{\text{miss}}$  distributions in the dimuon (top-left), photon (top-right), and single muon (bottom) control regions, before and after performing the simultaneous likelihood fit to the data in the control regions, for the V-resolved category. The predictions for the distributions before fitting to the control region data (pre-fit), and after (post-fit) are shown as the dashed red and solid blue lines, respectively. The red circles in the lower panels show the ratio of the observed data to the pre-fit predictions, while the blue triangles show the ratio to the post-fit predictions. The horizontal bars on the data points indicate the width of the bin that is centred at that point. The filled bands around the post-fit prediction indicate the combined statistical and systematic uncertainties from the fit.

lated to the V-tagging efficiency of both of the V-tagged categories are included for the top and diboson backgrounds, which allow for migration of events between the three categories. The systematic uncertainty is roughly 10% in the V-resolved category, which allows for the disagreement between data and MC observed in the MVA distribution (figure 4) and 10% in the V-boosted category, which allows for the uncertainty in the measurement of the selection efficiency using  $t\bar{t}b\bar{b}$  events in data [78]. A systematic uncertainty of 4% is included





**Figure 8.** Predicted and observed pseudo- $E_T^{\text{miss}}$  distributions in the dimuon (top-left), photon (top-right), and single muon (bottom) control regions, before and after performing the simultaneous likelihood fit to the data in the control regions, for the monojet category. The predictions for the distributions before fitting to the control region data (pre-fit), and after (post-fit) are shown as the dashed red and solid blue lines, respectively. The red circles in the lower panels show the ratio of the observed data to the pre-fit predictions, while the blue triangles show the ratio to the post-fit predictions. The horizontal bars on the data points indicate the width of the bin that is centred at that point. The filled bands around the post-fit prediction indicate the combined statistical and systematic uncertainties from the fit.

for the top quark backgrounds normalization because of the uncertainty in the b tagging efficiency for the b jet veto in the V-resolved category [85]. Systematic uncertainties of 7% and 10% are included in the normalizations of the top quark [86] and diboson [87, 88] backgrounds, respectively, to account for the uncertainty in their cross sections in the relevant kinematic phase-space. The top quark and diboson backgrounds have been studied separately using dedicated control regions in data to validate these systematic uncertainties. A

$E_T^{\text{miss}}$ (GeV)	Obs.	Z( $\rightarrow \nu\nu$ )+jets	W( $\rightarrow \ell\nu$ )+jets	Top quark	Dibosons	Other	Total Bkg.
250–300	1073	683 $\pm$ 40	279 $\pm$ 33	35.4 $\pm$ 3.7	103 $\pm$ 15	2.5 $\pm$ 0.1	1103 $\pm$ 63
300–350	453	271 $\pm$ 23	114 $\pm$ 20	12.7 $\pm$ 1.3	46.5 $\pm$ 6.9	0.7 $\pm$ 0.1	446 $\pm$ 34
350–400	160	118 $\pm$ 13	38.3 $\pm$ 8.7	5.6 $\pm$ 1.0	22.2 $\pm$ 3.3	0.2 $\pm$ 0.1	184 $\pm$ 18
400–450	81	49.7 $\pm$ 7.3	9.8 $\pm$ 3.4	1.5 $\pm$ 0.8	11.0 $\pm$ 1.8	<0.1	72 $\pm$ 29
450–500	30	31.2 $\pm$ 6.1	5.0 $\pm$ 2.6	0.5 $\pm$ 0.1	7.4 $\pm$ 1.1	<0.1	44.3 $\pm$ 6.6
500–1000	39	39.8 $\pm$ 7.8	6.4 $\pm$ 3.4	0.2 $\pm$ 0.0	7.8 $\pm$ 1.1	<0.1	54.3 $\pm$ 8.5

**Table 2.** Expected yields of the SM processes and their uncertainties per bin for the V-boostered category after the fit to the control regions.

$E_T^{\text{miss}}$ (GeV)	Obs.	Z( $\rightarrow \nu\nu$ )+jets	W( $\rightarrow \ell\nu$ )+jets	Top quark	Dibosons	Other	Total Bkg.
250–300	617	298 $\pm$ 36	166 $\pm$ 26	55.4 $\pm$ 4.7	27.9 $\pm$ 1.6	36 $\pm$ 17	587 $\pm$ 48
300–350	211	98 $\pm$ 14	41 $\pm$ 10	15.2 $\pm$ 1.5	9.6 $\pm$ 0.3	19.2 $\pm$ 6.6	170 $\pm$ 18
350–400	79	31.1 $\pm$ 7.0	21.5 $\pm$ 8.9	5.5 $\pm$ 0.7	3.2 $\pm$ 0.3	8.2 $\pm$ 2.3	62 $\pm$ 12
400–450	20	20.1 $\pm$ 6.4	14.5 $\pm$ 8.5	1.5 $\pm$ 0.2	0.6 $\pm$ 0.3	3.0 $\pm$ 0.7	38 $\pm$ 11
450–500	16	6.1 $\pm$ 2.7	1.0 $\pm$ 2.6	1.0 $\pm$ 0.4	0.4 $\pm$ 0.1	1.0 $\pm$ 0.2	8.5 $\pm$ 3.6
500–1000	17	6.9 $\pm$ 3.0	2.6 $\pm$ 1.7	0.3 $\pm$ 0.2	0.5 $\pm$ 0.0	0.3 $\pm$ 0.1	11.6 $\pm$ 3.5

**Table 3.** Expected yields of the SM processes and their uncertainties per bin for the V-resolved category after the fit to the control regions.

systematic uncertainty of 50% is included in the expected contribution from QCD multijet events. This uncertainty was obtained by taking the largest differences observed between data and simulation in events selected by inverting the requirement on  $\Delta\phi(\vec{p}_T^{\text{miss}}, j)$ . Finally, a systematic uncertainty of 2.6% in the integrated luminosity measurement [89] is included in the normalization all of the backgrounds obtained from simulation.

The expected yields in each bin of  $E_T^{\text{miss}}$  from all SM backgrounds, after the fit to the data in the control regions, are given in tables 2, 3, and 4 for the V-boostered, V-resolved, and monojet signal region, respectively. The uncertainties represent the sum in quadrature of the effects of all the relevant sources of systematic uncertainty in each bin of  $E_T^{\text{miss}}$ . The correlations between the  $E_T^{\text{miss}}$  bins, resulting from the fit to the control regions, for each of the three event categories are shown in figures 12, 13, and 14 of the supplementary material in appendix A.

## 6 Results

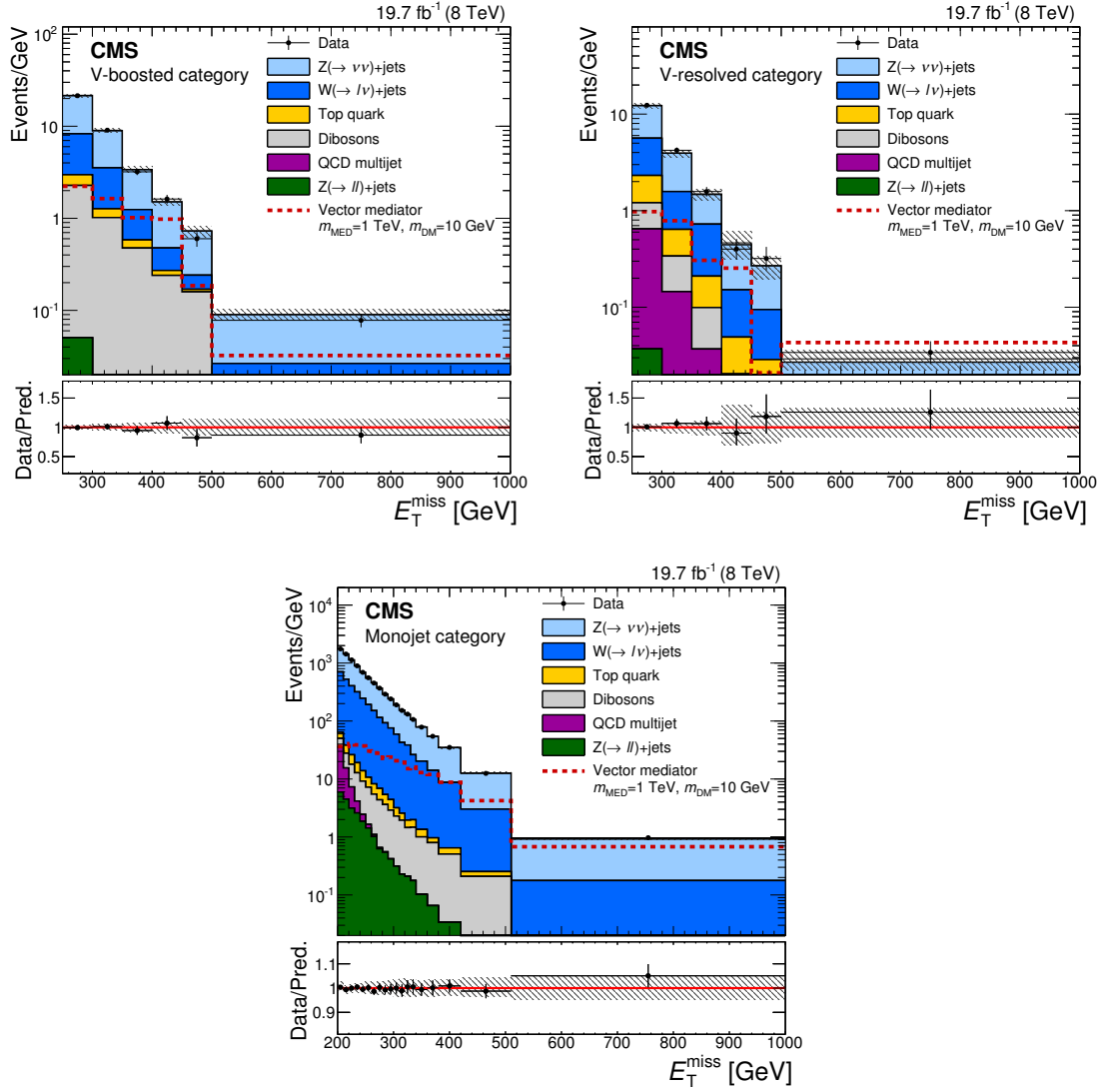
A simultaneous fit to the data in the three event category signal regions is performed. The background shapes in this second fit are allowed to vary within their uncertainties, which are propagated from the fit to the control region data, described in the previous section, accounting for correlations between the control region fit parameters. The corresponding comparisons between the data and the expected backgrounds in the  $E_T^{\text{miss}}$  distributions after this fit are shown in figure 9 for each of the three event categories. Agreement

$E_T^{\text{miss}}$ (GeV)	Obs.	Z( $\rightarrow \nu\nu$ )+jets	W( $\rightarrow \ell\nu$ )+jets	Top quark	Dibosons	Other	Total Bkg.
200–210	17547	10740 $\pm$ 270	6770 $\pm$ 320	132 $\pm$ 11	135 $\pm$ 14	93.4 $\pm$ 16.9	17870 $\pm$ 600
210–220	14303	9230 $\pm$ 230	4990 $\pm$ 240	104 $\pm$ 13	112 $\pm$ 11	63.7 $\pm$ 6.7	14500 $\pm$ 610
220–230	11343	7320 $\pm$ 190	3830 $\pm$ 170	82.1 $\pm$ 7.3	95.1 $\pm$ 9.6	39.4 $\pm$ 2.4	11370 $\pm$ 400
230–240	8961	5730 $\pm$ 170	3020 $\pm$ 160	62.0 $\pm$ 5.8	77.9 $\pm$ 8.6	29.0 $\pm$ 1.0	8920 $\pm$ 400
240–250	6920	4680 $\pm$ 150	2470 $\pm$ 140	46.6 $\pm$ 4.4	61.0 $\pm$ 6.1	19.6 $\pm$ 0.5	7280 $\pm$ 330
250–260	5582	3700 $\pm$ 140	1860 $\pm$ 120	34.2 $\pm$ 3.7	50.1 $\pm$ 4.9	14.6 $\pm$ 0.4	5660 $\pm$ 370
260–270	4517	3290 $\pm$ 130	1580 $\pm$ 110	27.7 $\pm$ 2.3	39.7 $\pm$ 4.2	10.3 $\pm$ 0.2	4940 $\pm$ 320
270–280	3693	2570 $\pm$ 110	1101 $\pm$ 71	25.0 $\pm$ 3.1	33.5 $\pm$ 3.4	6.3 $\pm$ 0.2	3730 $\pm$ 160
280–290	2907	2085 $\pm$ 89	934 $\pm$ 71	17.8 $\pm$ 1.9	28.1 $\pm$ 3.0	5.5 $\pm$ 0.1	3070 $\pm$ 180
290–300	2406	1721 $\pm$ 85	754 $\pm$ 58	15.0 $\pm$ 3.6	21.9 $\pm$ 2.7	4.2 $\pm$ 0.1	2520 $\pm$ 170
300–310	1902	1337 $\pm$ 79	577 $\pm$ 51	8.9 $\pm$ 1.6	17.7 $\pm$ 2.1	3.1 $\pm$ 0.1	1940 $\pm$ 160
310–320	1523	1182 $\pm$ 58	435 $\pm$ 43	5.9 $\pm$ 2.2	15.5 $\pm$ 1.8	2.3 $\pm$ 0.1	1640 $\pm$ 110
320–330	1316	931 $\pm$ 53	371 $\pm$ 44	5.2 $\pm$ 1.3	11.0 $\pm$ 1.8	2.1 $\pm$ 0.1	1320 $\pm$ 92
330–340	1065	804 $\pm$ 51	246 $\pm$ 29	4.9 $\pm$ 1.1	11.9 $\pm$ 1.8	1.8 $\pm$ 0.1	1070 $\pm$ 120
340–360	1571	1225 $\pm$ 61	399 $\pm$ 39	6.8 $\pm$ 1.2	16.4 $\pm$ 1.6	2.0 $\pm$ 0.1	1650 $\pm$ 110
360–380	1091	822 $\pm$ 53	269 $\pm$ 30	3.4 $\pm$ 0.4	13.3 $\pm$ 1.4	1.3 $\pm$ 0.1	1110 $\pm$ 150
380–420	1404	1036 $\pm$ 66	324 $\pm$ 30	5.5 $\pm$ 0.6	17.1 $\pm$ 1.7	1.4 $\pm$ 0.1	1390 $\pm$ 110
420–510	1126	943 $\pm$ 70	267 $\pm$ 27	3.9 $\pm$ 0.8	15.7 $\pm$ 1.6	1.3 $\pm$ 0.1	1240 $\pm$ 140
510–1000	476	330 $\pm$ 32	72 $\pm$ 12	0.6 $\pm$ 0.2	8.2 $\pm$ 0.8	0.3 $\pm$ 0.1	412 $\pm$ 71

**Table 4.** Expected yields of the SM processes and their uncertainties per bin for the monojet category after the fit to the control regions.

between the data and the expected backgrounds is observed at the percent level across the three categories. A local significance of the data in each bin is calculated by comparing the likelihood between the background-only fit (figure 9) and a fit in which the total expected yield of events in that bin is fixed to the observation in data. The largest local significance observed using this procedure is 1.9 standard deviations and corresponds to the largest  $E_T^{\text{miss}}$  bin of the monojet category.

The results are interpreted using the set of simplified models for DM production described in section 3. Exclusion limits are set for these models using the asymptotic  $\text{CL}_s$  method [90–92] with a profile likelihood ratio as the test statistic, in which systematic uncertainties in the signal and background models are modelled as constrained nuisance parameters. For each signal hypothesis tested, upper limits are placed on the ratio of the signal yield to that predicted by the simplified model, denoted as  $\mu$ . Limits are presented in terms of excluded regions in the  $m_{\text{MED}} - m_{\text{DM}}$  plane, assuming scalar, pseudoscalar, vector, and axial-vector mediators, determined as the points for which  $\mu > 1$  is excluded at the 90% confidence level (CL) or above. The choice of 90% CL exclusions is made to allow comparison with other experiments. Limits are calculated for a set of points in the plane and then interpolated to derive exclusion contours. In the region  $m_{\text{MED}} < 200$  GeV,  $m_{\text{DM}} < 200$  GeV, the limit is calculated in 10 GeV steps in both DM and mediator masses.



**Figure 9.** Post-fit distributions in  $E_T^{\text{miss}}$  expected from SM backgrounds and observed in the signal region. The expected distributions are evaluated after fitting to the observed data simultaneously across the V-booster (top-left), V-resolved (top-right), and monojet (bottom) categories. The ratio of the data to the post-fit background prediction is shown in the lower panels. The shaded bands indicate the post-fit uncertainty in the background, assuming no signal. The horizontal bars on the data points indicate the width of the bin that is centred at that point. The expected distribution for a signal assuming vector mediated DM production is shown for  $m_{\text{MED}} = 1$  TeV and  $m_{\text{DM}} = 10$  GeV.

For the region  $200 < m_{\text{MED}} < 500$  GeV,  $m_{\text{DM}} < 500$  GeV, a spacing of 25 GeV is used. For mediator masses larger than 500 GeV the generated signal points are separated by 100 GeV. The expected number of signal events in each of the three event categories arising from monojet and mono-V production for a vector and axial vector mediator with a mass of 1 TeV, a scalar mediator with a mass of 125 GeV, and a pseudoscalar mediator with a mass of 400 GeV is shown in table 5. The yields are derived assuming a DM mass of 1 GeV and

Mediator type	V-boosted		V-resolved		Monojet	
	monojet	mono-V	monojet	mono-V	monojet	mono-V
Vector, $m_{\text{MED}} = 1 \text{ TeV}$	217	84.0	82.0	26.1	5250	94.8
Axial vector, $m_{\text{MED}} = 1 \text{ TeV}$	268	85.7	85.5	24.5	6030	93.7
Pseudoscalar, $m_{\text{MED}} = 400 \text{ GeV}$	56.8	1300	20.8	100	2420	1500
Scalar, $m_{\text{MED}} = 125 \text{ GeV}$	20.6	126	8.44	13.3	1060	196

**Table 5.** Expected signal event yields in each of the three event categories for monojet and mono-V production assuming a vector, axial vector, pseudoscalar, or scalar mediator. The yields are determined assuming  $m_{\text{DM}} = 1 \text{ GeV}$  and  $g_{\text{DM}} = g_{\text{SM}} = g_{\text{q}} = 1$ .

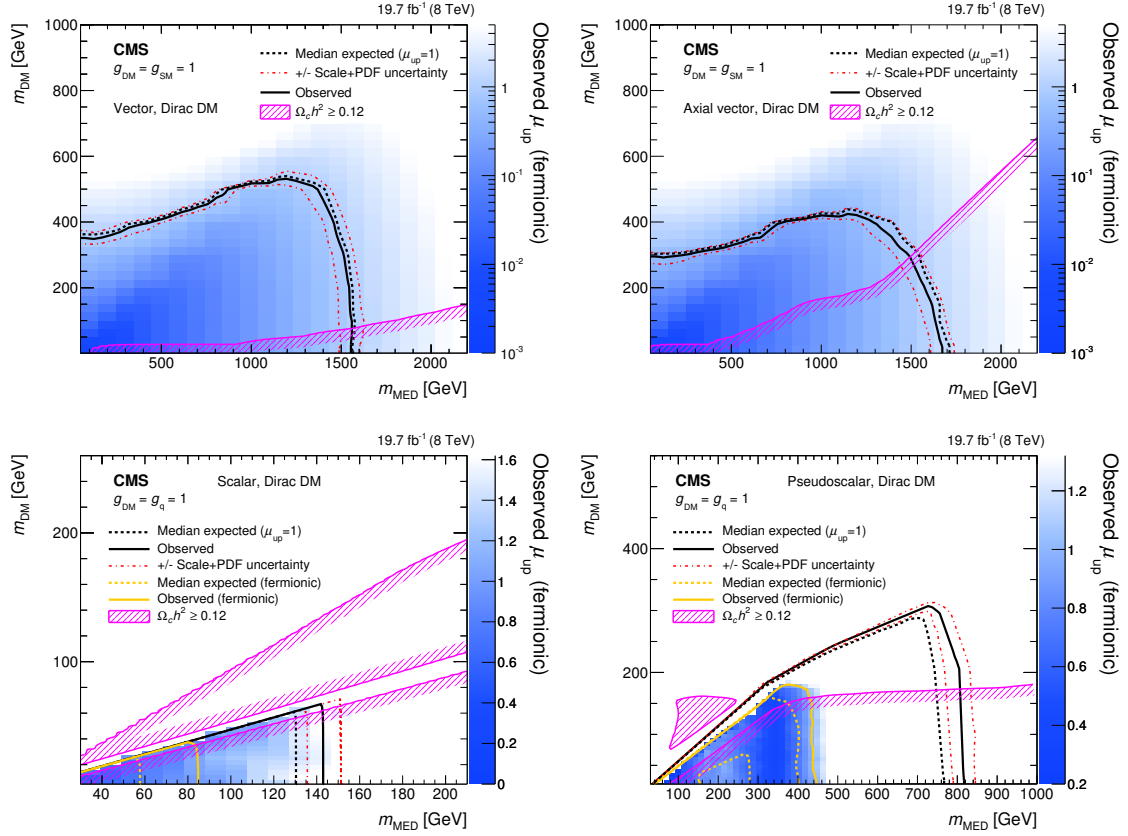
coupling values  $g_{\text{DM}} = g_{\text{SM}} = g_{\text{q}} = 1$ . The sum of these contributions in each category is used when setting limits, except in the fermionic case, for which the contribution from the mono-V signal is ignored.

Experimental systematic uncertainties, including jet and  $E_{\text{T}}^{\text{miss}}$  response and resolution uncertainties, are included in the signal model as nuisance parameters, while the theoretical systematic uncertainties in the inclusive cross section are instead added as additional contours on the exclusion limits. These include the effect of varying the renormalization and factorization scales by a factor of two, and the PDF uncertainties, which result in 20% and 30% variations in the signal yield, when summed in quadrature, for the vector and axial vector, and scalar and pseudoscalar models, respectively. These are the largest values found across the full range of the mediator mass from 10 GeV to 3 TeV, although the variation of these uncertainties in this range is found to be small. The same values are assumed for every signal point, thus giving a conservative estimate of the uncertainty.

Figure 10 shows the 90% CL exclusions for the vector, axial vector, scalar, and pseudoscalar mediator models. The 90% upper limit on  $\mu$  ( $\mu_{\text{up}}$ ), when assuming that the mediator couples only to fermions (fermionic), is shown by the blue color scale. As described in section 3, the limits are calculated assuming a minimum width for the signal [21, 22, 25, 93]. For the pseudoscalar interpretation, there is a region of masses between 150 and 280 GeV for which the decrease in cross section with larger mediator mass is balanced by an increase in acceptance for the signal, so that the expected signal contribution remains roughly constant. The expected value of  $\mu_{\text{up}}$  is larger than 1 in this region, resulting in an “island” at small  $m_{\text{DM}}$ , where no exclusion is expected at the 90% CL. However, the observed value of  $\mu_{\text{up}}$  is smaller than 1 throughout this region at 90% CL, thus the island is not present in the observed limits.

The results are compared, for all four types of mediators, to constraints obtained from the observed cosmological relic density of DM as determined from measurements of the cosmic microwave background by the WMAP and Planck experiments [5, 94, 95]. The expected DM abundance is estimated, separately for each model, using a thermal freeze-out mechanism implemented in MADDM2.0.6 [96], and compared with the observed cold DM density  $\Omega_{\text{c}} h^2 = 0.12$  [97], as described in ref. [98]. It is assumed that the hypothesized simplified model provides the only relevant dynamics for DM interaction beyond the SM.

Figures 11(top-left), 11(top-right), and 11(bottom-left) show the same exclusion contours, this time translated into the planes of  $m_{\text{DM}} - \sigma_{\text{SI}}$  or  $m_{\text{DM}} - \sigma_{\text{SD}}$ , where  $\sigma_{\text{SI}}$  and  $\sigma_{\text{SD}}$



**Figure 10.** The 90% CL exclusion contours in the  $m_{\text{MED}} - m_{\text{DM}}$  plane assuming vector (top-left), axial vector (top-right), scalar (bottom-left), and pseudoscalar (bottom-right) mediators. The scale shown on the right hand axis shows the expected 90% CL exclusion upper limit on the signal strength, assuming the mediator only couples to fermions. For the scalar and pseudoscalar mediators, the exclusion contour assuming coupling only to fermions (fermionic) is also shown. The white region shows model points that are not tested when assuming coupling only to fermions and are not expected to be excluded by this analysis under this assumption. The red dot-dashed lines indicate the variation in the exclusion contours due to modifying the renormalization and factorization scales by a factor of two in the generation of the signal. In all cases, the excluded region is to the bottom-left of the contours, except for the relic density, which shows the regions for which  $\Omega_c h^2 \geq 0.12$ , as indicated by the shading. In all of the models, the mediator width is determined using the minimum width assumption.

are the SI or SD DM-nucleon scattering cross sections. These representations allow a more direct comparison with limits from the DD experiments. The translations are obtained following the procedures outlined in ref. [99] for the vector and axial vector mediators and in refs. [100, 101] for the scalar mediator. It should be noted that the limits set from this analysis are only valid for the simplified model, and in particular that they assume  $g_{\text{DM}} = g_{\text{SM}} = g_q = 1$ . For the scalar mediator model, it is assumed that only heavy quarks (top and bottom) contribute. Such a choice limits the sensitivity for DD experiments, however, it allows the direct comparison between collider and DD experiments without an additional assumption for the light-quark couplings [100]. For the vector and

scalar models, the limits are compared with those from the LUX [102], CDMS lite [103], CRESST II [104], and PandaX II [105] experiments. The limits from the LUX experiment currently provides the strongest constraints on  $\sigma_{\text{SI}}$  for  $m_{\text{DM}} \gtrsim 4 \text{ GeV}$ , while for values of  $m_{\text{DM}} < 2 \text{ GeV}$  the analysis in this paper provides more stringent constraints on the vector and scalar models as shown in figures 11(top-left) and figure 11(bottom-left), respectively. For axial vector couplings, the limits are compared with DM-proton scattering limits from the PICO-2L [106], PICO-60 [107], IceCube [108], and Super-Kamiokande [109] experiments. In this model, the limits obtained in this analysis are superior for DM masses up to 300 GeV.

Pseudoscalar-mediated DM-nucleon interactions are suppressed at large velocities. The most appropriate comparison is therefore to the most sensitive bounds on indirect detection from the Fermi LAT collaboration [110, 111]. These limits apply to a scenario in which DM annihilates in the centre of a galaxy, producing a  $\gamma$  ray signature. The signature can be interpreted as DM annihilation to b quark pairs, allowing direct comparison with limits from this analysis [34, 112, 113].

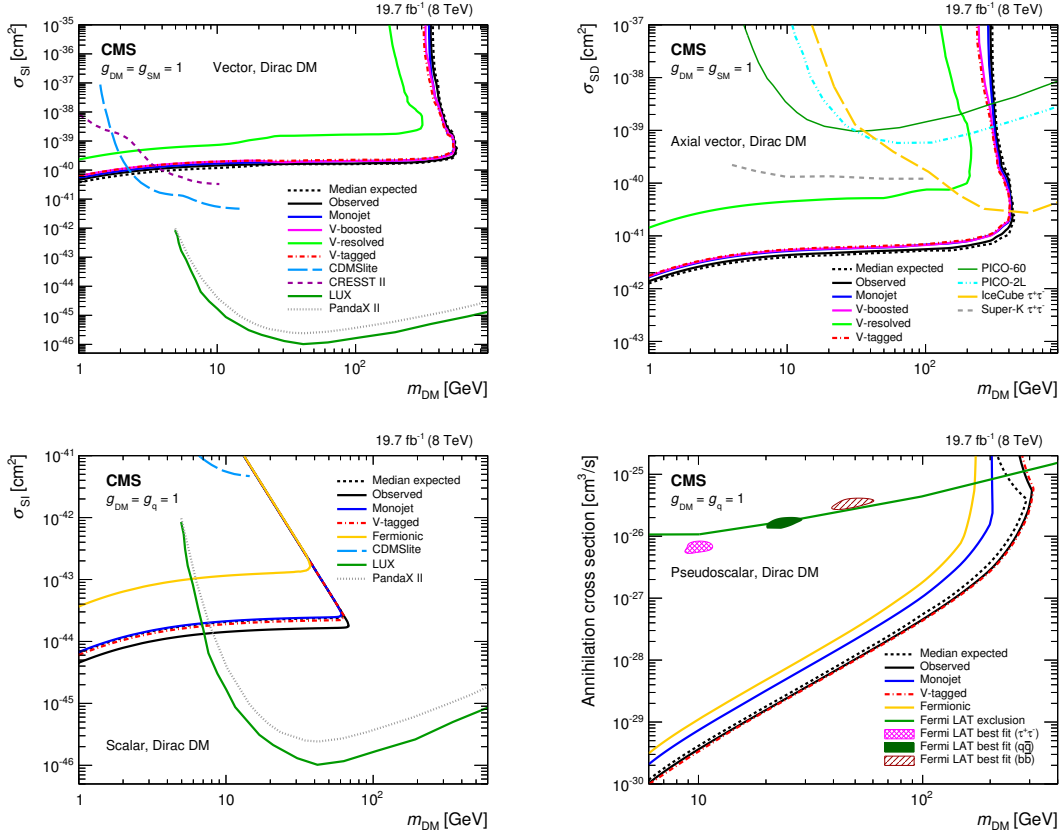
Figure 11(bottom-right) shows the exclusion contours assuming pseudoscalar mediation in the plane of DM pair annihilation cross section versus  $m_{\text{DM}}$ . It is assumed that only heavy quarks contribute in the production of the mediator, while for the interpretation of the Fermi LAT limits in the annihilation cross section, it is assumed that the mediator decays only to b quark pairs. As with all of the simplified model interpretations, the DM particle is assumed to be a Dirac fermion. The results shown from Fermi LAT have been scaled by a factor of two compared to ref. [110], because of the assumption of a Majorana DM fermion made by that analysis. The limits from this analysis improve on those from Fermi LAT for DM masses up to 150 GeV.

An excess in  $\gamma$  ray emission, consistent with the annihilation of DM, at the galactic centre has been reported in several studies using data from Fermi LAT [114–117]. Further studies of this excess suggest that DM annihilation could be mediated by a light pseudoscalar particle [118, 119]. The 68% CL preferred regions in this plane assuming the annihilation of DM pairs to light-quarks ( $q\bar{q}$ ),  $\tau^+\tau^-$ , or  $b\bar{b}$ , using data from Fermi LAT, are shown as solid colour regions in figure 11(bottom-right). For the simplified model, and assuming that  $g_{\text{DM}} = g_q = 1$ , all of these regions are excluded by this analysis.

## 7 Summary

A search has been presented for an excess of events with at least one energetic jet in association with large  $E_{\text{T}}^{\text{miss}}$  in a data sample of proton-proton collisions at a centre-of-mass energy of 8 TeV. The data correspond to an integrated luminosity of  $19.7 \text{ fb}^{-1}$  collected with the CMS detector at the LHC. Sensitivity to a potential mono-V signature is achieved by the addition of two event categories that select hadronically decaying V-bosons using novel jet substructure techniques. This search is the first at CMS to use jet substructure techniques to identify hadronically decaying vector bosons in both Lorentz-boosted and resolved scenarios. The sensitivity of the search has been increased compared to the previous CMS result by using the full shape of the  $E_{\text{T}}^{\text{miss}}$  distribution to discriminate signal from





**Figure 11.** The 90% CL exclusion contours in the  $m_{\text{DM}} - \sigma_{\text{SI}}$  or  $m_{\text{DM}} - \sigma_{\text{SD}}$  plane assuming vector (top-left), axial vector (top-right), scalar (bottom-left) mediators. Also shown is the 90% CL exclusion in DM annihilation cross section as a function of  $m_{\text{DM}}$  for a pseudoscalar mediator (bottom-right). For the scalar and pseudoscalar mediators, the exclusion contours assuming the mediator only couples to fermions (fermionic) is also shown. The excluded region in all plots is to the top-left of the contours for the results from this analysis while the DD experiments and Fermi LAT excluded regions are above the lines shown. In the vector and axial vector models, limits are shown independently for monojet, V-boosted, and V-resolved categories. The red dot-dashed line shows the partial combination of the V-tagged categories for which the V-boosted category provides the dominant contribution. In all of the mediator models, a minimum mediator width is assumed. For the pseudoscalar mediator, 68% CL preferred regions, obtained using data from Fermi LAT, for DM annihilation to light-quarks ( $q\bar{q}$ ),  $\tau^+\tau^-$ , and  $b\bar{b}$  are given by the solid green, hatched pink, and shaded brown coloured regions, respectively.

standard model backgrounds and by using additional data control regions. No significant deviation is observed in the  $E_{\text{T}}^{\text{miss}}$  distributions relative to the expectation from standard model backgrounds. The results of the search are interpreted under a set of simplified models that describe the production of dark matter (DM) particle pairs via vector, axial vector, scalar, or pseudoscalar mediation. Constraints are placed on the parameter space of these models. The search was the first at CMS to be interpreted using the simplified models for DM production. The search excludes DM production via vector or axial vector mediation with mediator masses up to 1.5 TeV, within the simplified model assumptions.

When compared to direct detection experiments, the limits from this analysis provide the strongest constraints at small DM masses in the vector model and for DM masses up to 300 GeV in the axial vector model. For scalar and pseudoscalar mediated DM production, this analysis excludes mediator masses up to 80 and 400 GeV, respectively. The results of this analysis provide the strongest constraints on DM pair annihilation cross section via a pseudoscalar interaction for DM masses up to 150 GeV compared to the latest indirect detection results from Fermi LAT.

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# A Supplementary material

V-boosted category	CMS 19.7 fb <sup>-1</sup> (8 TeV)					
$500 \leq E_T^{\text{miss}} < 1000$ [GeV]	0.02	0.07	0.06	0.12	0.08	1.00
$450 \leq E_T^{\text{miss}} < 500$ [GeV]	0.05	0.10	0.05	0.05	1.00	0.08
$400 \leq E_T^{\text{miss}} < 450$ [GeV]	0.13	0.09	0.11	1.00	0.05	0.12
$350 \leq E_T^{\text{miss}} < 400$ [GeV]	0.13	0.19	1.00	0.11	0.05	0.06
$300 \leq E_T^{\text{miss}} < 350$ [GeV]	0.16	1.00	0.19	0.09	0.10	0.07
$250 \leq E_T^{\text{miss}} < 300$ [GeV]	1.00	0.16	0.13	0.13	0.05	0.02
	$250 \leq E_T^{\text{miss}} < 300$ [GeV]	$300 \leq E_T^{\text{miss}} < 350$ [GeV]	$350 \leq E_T^{\text{miss}} < 400$ [GeV]	$400 \leq E_T^{\text{miss}} < 450$ [GeV]	$450 \leq E_T^{\text{miss}} < 500$ [GeV]	$500 \leq E_T^{\text{miss}} < 1000$ [GeV]

**Figure 12.** Correlations between the predicted number of background events in each bin of  $E_T^{\text{miss}}$  in the V-boosted category. The correlation is determined from the simultaneous fit to data in the dimuon, single muon, and photon control regions in all the three event categories.

V-resolved category **CMS** 19.7 fb<sup>-1</sup> (8 TeV)

$500 \leq E_T^{\text{miss}} < 1000$ [GeV]	<b>0.04</b>	<b>-0.05</b>	<b>-0.01</b>	<b>-0.03</b>	<b>0.03</b>	<b>1.00</b>
$450 \leq E_T^{\text{miss}} < 500$ [GeV]	<b>0.04</b>	<b>0.01</b>	<b>0.04</b>	<b>0.04</b>	<b>1.00</b>	<b>0.03</b>
$400 \leq E_T^{\text{miss}} < 450$ [GeV]	<b>-0.01</b>	<b>0.03</b>	<b>0.01</b>	<b>1.00</b>	<b>0.04</b>	<b>-0.03</b>
$350 \leq E_T^{\text{miss}} < 400$ [GeV]	<b>0.04</b>	<b>0.09</b>	<b>1.00</b>	<b>0.01</b>	<b>0.04</b>	<b>-0.01</b>
$300 \leq E_T^{\text{miss}} < 350$ [GeV]	<b>0.10</b>	<b>1.00</b>	<b>0.09</b>	<b>0.03</b>	<b>0.01</b>	<b>-0.05</b>
$250 \leq E_T^{\text{miss}} < 300$ [GeV]	<b>1.00</b>	<b>0.10</b>	<b>0.04</b>	<b>-0.01</b>	<b>0.04</b>	<b>0.04</b>
	$250 \leq E_T^{\text{miss}} < 300$ [GeV]	$300 \leq E_T^{\text{miss}} < 350$ [GeV]	$350 \leq E_T^{\text{miss}} < 400$ [GeV]	$400 \leq E_T^{\text{miss}} < 450$ [GeV]	$450 \leq E_T^{\text{miss}} < 500$ [GeV]	$500 \leq E_T^{\text{miss}} < 1000$ [GeV]

**Figure 13.** Correlations between the predicted number of background events in each bin of  $E_T^{\text{miss}}$  in the V-resolved category. The correlation is determined from the simultaneous fit to data in the dimuon, single muon, and photon control regions in all the three event categories.

Monojet category	CMS 19.7 fb <sup>-1</sup> (8 TeV)																		
510 ≤ $E_T^{\text{miss}}$ < 1000 [GeV]	0.05	0.08	0.08	0.08	0.08	0.11	0.12	0.05	0.11	0.10	0.11	0.10	0.10	0.11	0.14	0.09	0.11	0.17	1.00
420 ≤ $E_T^{\text{miss}}$ < 510 [GeV]	0.10	0.09	0.09	0.09	0.07	0.09	0.14	0.13	0.10	0.15	0.09	0.15	0.10	0.10	0.13	0.04	0.14	1.00	0.17
380 ≤ $E_T^{\text{miss}}$ < 420 [GeV]	0.10	0.14	0.12	0.13	0.09	0.13	0.14	0.15	0.11	0.11	0.13	0.17	0.15	0.14	0.15	0.17	1.00	0.14	0.11
360 ≤ $E_T^{\text{miss}}$ < 380 [GeV]	0.06	0.07	0.13	0.11	0.09	0.06	0.09	0.08	0.14	0.10	0.11	0.10	0.11	0.13	0.11	1.00	0.17	0.04	0.09
340 ≤ $E_T^{\text{miss}}$ < 360 [GeV]	0.17	0.20	0.13	0.17	0.10	0.16	0.13	0.12	0.09	0.12	0.10	0.12	0.12	0.11	1.00	0.11	0.15	0.13	0.14
330 ≤ $E_T^{\text{miss}}$ < 340 [GeV]	0.18	0.11	0.16	0.12	0.17	0.08	0.06	0.07	0.11	0.18	0.14	0.14	0.12	1.00	0.11	0.13	0.14	0.10	0.11
320 ≤ $E_T^{\text{miss}}$ < 330 [GeV]	0.15	0.18	0.13	0.10	0.08	0.16	0.10	0.10	0.15	0.11	0.13	0.09	1.00	0.12	0.12	0.11	0.15	0.10	0.10
310 ≤ $E_T^{\text{miss}}$ < 320 [GeV]	0.12	0.14	0.11	0.13	0.07	0.12	0.08	0.12	0.10	0.17	0.14	1.00	0.09	0.14	0.12	0.10	0.17	0.15	0.10
300 ≤ $E_T^{\text{miss}}$ < 310 [GeV]	0.18	0.18	0.17	0.16	0.12	0.15	0.13	0.14	0.13	0.14	1.00	0.14	0.13	0.14	0.10	0.11	0.13	0.09	0.11
290 ≤ $E_T^{\text{miss}}$ < 300 [GeV]	0.18	0.22	0.17	0.17	0.19	0.14	0.13	0.15	0.13	1.00	0.14	0.17	0.11	0.18	0.12	0.10	0.11	0.15	0.10
280 ≤ $E_T^{\text{miss}}$ < 290 [GeV]	0.24	0.26	0.15	0.17	0.16	0.21	0.18	0.16	1.00	0.13	0.13	0.10	0.15	0.11	0.09	0.14	0.11	0.10	0.11
270 ≤ $E_T^{\text{miss}}$ < 280 [GeV]	0.22	0.19	0.23	0.17	0.11	0.16	0.16	1.00	0.16	0.15	0.14	0.12	0.10	0.07	0.12	0.08	0.15	0.13	0.05
260 ≤ $E_T^{\text{miss}}$ < 270 [GeV]	0.23	0.23	0.23	0.19	0.13	0.18	1.00	0.16	0.18	0.13	0.13	0.08	0.10	0.06	0.13	0.09	0.14	0.14	0.12
250 ≤ $E_T^{\text{miss}}$ < 260 [GeV]	0.27	0.23	0.21	0.19	0.17	1.00	0.18	0.16	0.21	0.14	0.15	0.12	0.16	0.08	0.16	0.06	0.13	0.09	0.11
240 ≤ $E_T^{\text{miss}}$ < 250 [GeV]	0.26	0.26	0.22	0.24	1.00	0.17	0.13	0.11	0.16	0.19	0.12	0.07	0.08	0.17	0.10	0.09	0.09	0.07	0.08
230 ≤ $E_T^{\text{miss}}$ < 240 [GeV]	0.30	0.31	0.28	1.00	0.24	0.19	0.19	0.17	0.17	0.17	0.16	0.13	0.10	0.12	0.17	0.11	0.13	0.09	0.08
220 ≤ $E_T^{\text{miss}}$ < 230 [GeV]	0.30	0.31	1.00	0.28	0.22	0.21	0.23	0.23	0.15	0.17	0.17	0.11	0.13	0.16	0.13	0.13	0.12	0.09	0.08
210 ≤ $E_T^{\text{miss}}$ < 220 [GeV]	0.41	1.00	0.31	0.31	0.26	0.23	0.23	0.19	0.26	0.22	0.18	0.14	0.18	0.11	0.20	0.07	0.14	0.09	0.08
200 ≤ $E_T^{\text{miss}}$ < 210 [GeV]	1.00	0.41	0.30	0.30	0.26	0.27	0.23	0.22	0.24	0.18	0.18	0.12	0.15	0.18	0.17	0.06	0.10	0.10	0.05
200 ≤ $E_T^{\text{miss}}$ < 210 [GeV]																			
210 ≤ $E_T^{\text{miss}}$ < 220 [GeV]																			
220 ≤ $E_T^{\text{miss}}$ < 230 [GeV]																			
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340 ≤ $E_T^{\text{miss}}$ < 360 [GeV]																			
360 ≤ $E_T^{\text{miss}}$ < 380 [GeV]																			
380 ≤ $E_T^{\text{miss}}$ < 420 [GeV]																			
420 ≤ $E_T^{\text{miss}}$ < 510 [GeV]																			
510 ≤ $E_T^{\text{miss}}$ < 1000 [GeV]																			

**Figure 14.** Correlations between the predicted number of background events in each bin of  $E_T^{\text{miss}}$  in the monojet category. The correlation is determined from the simultaneous fit to data in the dimuon, single muon, and photon control regions in all the three event categories.

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